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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐

Declaration  
Submitted  
With Initial  
Filing

OR

☒

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (f))  
required)

Attorney Docket  
Number

11321-P081WOUS

First Named Inventor

Valery N. Khabashesku

**COMPLETE IF KNOWN**

Application Number

10/585,591

Filing Date

July 11, 2006

Art Unit

Unknown

Examiner Name

N/Y/A

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NANOTUBE - AMINO ACIDS AND METHOD FOR PREPARING SAME

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

01/18/2005

as United States Application Number or PCT International

Application Number

PCT/US05/01310

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

**Authorization To Permit Access To Application by Participating Offices**☐

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified application, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified US application, and 3) any U.S. application from which benefit is sought in the above-identified application.

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## DECLARATION — Utility or Design Patent Application

### Claim of Foreign Priority Benefits

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed		YES	NO
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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**DECLARATION — Utility or Design Patent Application**Direct all  
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Customer Number:

81,060

OR ☐Correspondence  
address below

Name

Address

City

State

ZIP

Country

Telephone

Email

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: Deposit Accounts and Electronic Funds Transfer Profiles.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**

A petition has been filed for this unsigned inventor.

Given Name (first and middle (if any))

Valery N.

Family Name or Surname

Khabashesku

Inventor's Signature

B.N. Khabashesku

Date

03/20/08

Residence: City

Houston

State

Texas

Country

US

Citizenship

RU

Mailing Address

2421 - B Dorrington

City

Houston

State

Texas

Zip

77030

Country

US



Additional inventors or a legal representative are being named on the

supplemental sheet(s) PTO/SB/02A or 021R attached hereto.

# DECLARATION

## ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 4 of 4

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Holding		Peng	
Inventor's Signature <i>Heping Peng</i>		Date 04/22/08	
Super Land Residence: City	Texas State	US Country	CN Citizenship
3411 Mimosa Way			
Mailing Address			
Super Land City	Texas State	77479 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mary Lou		Margrave (Legal Representative for John L. Margrave, Deceased)	
Inventor's Signature		Date	
Bolton Residence: City	Texas State	US Country	US Citizenship
4511 Verone Street			
Mailing Address			
Bolton City	Texas State	77401 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
Page <u>1</u> of <u>1</u>	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Halqing		Peng	
Inventor's Signature		Date	
Houston Residence: City	TX State	TX Country	CN Citizenship
4045 Linkwood, No. 813			
Mailing Address			
Houston City	TX State	77025 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John L.		Margrave, Deceased	
Inventor's Signature		Date	
Bellaire Residence: City	TX State	<del>USA</del> Country	US Citizenship
4511 Verone			
Mailing Address			
Bellaire City	TX State	77401 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mary Lou		Margrave - Legal Representative for John L. Margrave, Deceased	
Inventor's Signature <i>Mary Lou Margrave</i>		Date <i>12-17-08</i>	
Bellaire Residence: City	TX State	<del>USA</del> Country	US Citizenship
4511 Verone			
Mailing Address			
Bellaire City	TX State	77401-5513 Zip	US Country

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☐ Declaration Submitted With Initial Filing      **OR**      ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (f)) required)

Attorney Docket Number	11321-P081WOUS
First Named Inventor	Valery N. Khabashesku
<b>COMPLETE IF KNOWN</b>	
Application Number	10/585,591
Filing Date	July 11, 2006
Art Unit	Unknown
Examiner Name	N/Y/A

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*(Title of the Invention)*

the specification of which

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) 01/18/2005

as United States Application Number or PCT International

Application Number PCT/US05/01310 and was amended on (MM/DD/YYYY)  (if applicable).

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[Page 1 of 3]

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## DECLARATION — Utility or Design Patent Application

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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**DECLARATION — Utility or Design Patent Application**Direct all  
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ZIP

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**WARNING:**

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any])

Valery N.

Family Name or Surname

Khabashesku

Inventor's Signature

B. N. Khabashesku

Date

03/20/08

Residence: City

Houston

State

Texas

Country

US

Citizenship

RU

Mailing Address

2421 - B Dorington

City

Houston

State

Texas

Zip

77030

Country

US



Additional inventors or a legal representative are being named on the

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.



# DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Page 4 of 4

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Heping		Peng	
Inventor's Signature <i>Heping Peng</i>		Date 04/22/08	
Sugar Land Residence: City	Texas State	US Country	CN Citizenship
3411 Mimosa Way			
Mailing Address			
Sugar Land City	Texas State	77479 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mary Lou		Margrave (Legal Representative for John L. Margrave, Deceased)	
Inventor's Signature		Date	
Bellaire Residence: City	Texas State	US Country	US Citizenship
4511 Verona Street			
Mailing Address			
Bellaire City	Texas State	77401 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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**DECLARATION** **ADDITIONAL INVENTOR(S)**  
Supplemental Sheet Page 1 of 1

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Holding		Peng	
Inventor's Signature		Date	
Houston Residence: City	TX State	TX Country	CN Citizenship
4045 Linkwood, No. 813			
Mailing Address			
Houston City	TX State	77025 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John L.		Margrave, Deceased	
Inventor's Signature		Date	
Bellaire Residence: City	TX State	<del>USA</del> Country	US Citizenship
4511 Verone			
Mailing Address			
Bellaire City	TX State	77401 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mary Lou		Margrave - Legal Representative for John L. Margrave, Deceased	
Inventor's Signature <i>Mary Lou Margrave</i>		Date <i>12-17-0</i>	
Bellaire Residence: City	TX State	<del>USA</del> Country	US Citizenship
4511 Verone			
Mailing Address			
Bellaire City	TX State	77401-5513 Zip	US Country

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Attorney Docket  
Number

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First Named Inventor

Valery N. Khabashesku

COMPLETE IF KNOWN

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Examiner Name

N/Y/A

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NANOTUBE - AMINO ACIDS AND METHOD FOR PREPARING SAME

(Title of the Invention)

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is attached hereto

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			YES		NO	
			<input type="checkbox"/>		<input type="checkbox"/>	
			<input type="checkbox"/>		<input type="checkbox"/>	
			<input type="checkbox"/>		<input type="checkbox"/>	
			<input type="checkbox"/>		<input type="checkbox"/>	



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**

A petition has been filed for this unsigned inventor.

Given Name (first and middle (if any))

Valery N.

Family Name or Surname

Khabashesku

Inventor's Signature

B.N. Khabashesku

Date

03/20/08

Residence: City

Houston

State

Texas

Country

US

Citizenship

RU

Mailing Address

2421 - B. Dorrington

City

Houston

State

Texas

Zip

77030

Country

US

☐ Additional inventors or a legal representative are being named on the

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

# DECLARATION

## ADDITIONAL INVENTOR(S) Supplemental Sheet

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Holding		Peng	
Inventor's Signature <i>Huipeng Peng</i>		Date 04/22/08	
Sugar Land Residence: City	Texas State	US Country	CN Citizenship
3411 Mimosa Way			
Mailing Address			
Sugar Land City	Texas State	77479 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mary Lou		Margrave (Legal Representative for John L. Margrave, Deceased)	
Inventor's Signature		Date	
Bellair Residence: City	Texas State	US Country	US Citizenship
4511 Verona Street			
Mailing Address			
Bellair City	Texas State	77401 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
Page <u>1</u> of <u>1</u>	

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Haqing		Peng	
Inventor's Signature		Date	
Houston Residence: City	TX State	TX Country	CN Citizenship
4045 Linkwood, No. 813			
Mailing Address			
Houston City	TX State	77025 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John L.		Margrave, Deceased	
Inventor's Signature		Date	
Bellaire Residence: City	TX State	<del>USA</del> Country	US Citizenship
4511 Verone			
Mailing Address			
Bellaire City	TX State	77401 Zip	US Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Mary Lou		Margrave - Legal Representative for John L. Margrave, Deceased	
Inventor's Signature <i>Mary Lou Margrave</i>		Date <i>12/17/0</i>	
Bellaire Residence: City	TX State	<del>USA</del> Country	US Citizenship
4511 Verone			
Mailing Address			
Bellaire City	TX State	77401-5513 Zip	US Country

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